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## UTILITY PATENT APPLICATION **TRANSMITTAL**

Only for new nonprovisional applications under 37 C.F.R. 1.53(b))	Ехр

Attorney Docket No.	406293
First Inventor	Sabeer Bhatia
Title	COMMUNICATIOMS SYSTEMS AND METHODS FOR EXCHANGING MESSAGES BETWEEN USERS
Express Mail Label No.	EV 210654246 US

APPLICATION ELEMENTS				ADDRESS TO:  Mail Stop Patent Application Commissioner for Patents P.O. Box 1450						
See MPEP chapter 600 concerning utility patent application contents.				Alexandria VA 22313-1450						
1. See Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)  2. Applicant claims small entity status. See 37 CFR 1.27.  3. Specification [Total Pages 26] (preferred arrangement set forth below)  - Descriptive title of the Invention  - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to sequence listing, a table, or a computer program listing appendix  - Background of the Invention  - Brief Description of the Drawings ( if filed)  - Detailed Description					Alexandria VA 22313-1450  7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a.  Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i.  CD-ROM or CD-R (2 copies); or  ii.  Paper  c.  Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS  9.  Assignment Papers (cover sheet & document(s))  10.  37 C.F.R. 3.73(b) Statement Power of (when there is an assignee)					
- Detailed Description - Claim(s) - Abstract of the Disclosure  4. Drawing(s) (35 U.S.C.113) [Total Sheets 12 ] 5. Oath or Declaration [Total Sheets 3 ] a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (					11. ☐ English Translation Document (if applicable)  12. ☐ Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449 Citations  13. ☐ Preliminary Amendment  14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  17. ☒ Other: Certificate Of Mailing  the requisite information below and in a preliminary amendment,					
is considered	d a part of the di	IONAL APPS only sclosure of the ac n has been inadve	company	ing or divisio	nal app	lication and	s hereby incorp	h an pora	oath or de ited by refe	eclaration is supplied under Box 5b, erence. The incorporation <u>can only</u>
				19. CORRE						
☑ Customer Number 3095					OR Correspondence address below					
Name										
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Journal			<i>Te</i>	elephone					Fax	
Name (Print	Туре)	Peter C. Knops			R	egistration N	o. (Attorney/Ag	ent)		37,659
Signature		~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~	= )				-		Date	September 12, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## **FEE TRANSMITTAL** for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	65
(P)	00

	Complete If Known	
Application Number	Not Yet Assigned	
Filing Date	Herewith	
First Named Inventor	Sabeer Bhatia	
Examiner Name	Not Yet Assigned	
Art Unit	Not Yet Assigned	
Attorney Docket No.	406293	

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)				
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3.	3. ADDITIONAL FEES				
Order	La	Large Entity Small Entity				
☐ Deposit Account:	Fee	Fee	Fee	Fee		
Deposit	Cod		Code	(\$)	Fee Description	Fee Paid
Account 12-0600	105		2051	65	Surcharge - late filing fee or oath	
Number	1052	2 50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit Account LATHROP & GAGE LC	1053	130	1053	130	Non-English specification	
Account LATHROP & GAGE LC Name	1812		1812	2,520	For filing a request for reexamination	
The Director is authorized to: (check all that apply)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge fee(s) indicated below ☐ Credit any overpayments ☐ Charge any additional fee(s) during the pendency of this application	1805	1,840*	1805	1,8401	Requesting publication of SIR after Examiner action	
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.	125	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	410	2252	205	Extension for reply within second month	
1. BASIC FILING FEE	1253	930	2253	465	Extension for reply within third month	
Large Entity Small Entity Fee Fee Fee Fee Description	1254	1,450	2254	725	Extension for reply within fourth month	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) Fee Paid	125	1,970	2255	985	Extension for reply within fifth month	
1001 750 2001 375 Utility filing fee 375	1401	320	2401	160	Notice of Appeal	
1002 330 2002 165 Design filing fee	1402	320	2402	160	Filing a brief in support of an appeal	
1003 520 2003 260 Plant filing fee	1403	280	2403	140	Request for oral hearing	
1004 750 2004 375 Reissue filing fee 1005 160 2005 80 Provisional filling fee	145	1,510	1451	1,510	Petition to institute a public use proceeding	
1003 100 2003 00 Provisional limiting lee	1452	110	2452	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 375	] [1453	1,300	2453	650	Petition to revive – unintentional	
A EVERA OLAMA EFFO FOR LITTLEY AND DETOOLE	1501		2501	650	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE  Extra Fee from Fee	1502		2502	235	Design issue fee	
Claims below Paid	1503		2503	315	Plant issue fee	
Total Claims 42 -20 ** = 22 X 9 = 198	1460		1460	130	Petitions to the Commissioner	
Independent 5 -3 ** = 2 X 42 = 84	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	` <b></b>
Multiple	1806	180	1806	180	Submission of Information Disclosure Stmt	
Dependent X = 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Fee Fee Code (\$) Fee Description	1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18 2202 9 Claims in excess of 20	1810	750	2810	375	For each additional invention to be	
1201 84 2201 42 Independent claims in excess of 3			ļ		examined (37 CFR § 1.129(b))	
1203 280 2203 140 Multiple dependent claim, if not paid	1 180	750	2801	375	Request for Continued Examination (RCE)	
1204 84 2204 42 ** Reissue independent claims over original patent	180	900	1802	900	Request for expedited examination	
1205 18 2205 9 ** Reissue claims in excess of 20 a over original patent					of a design application	
SUBTOTAL (2) (\$) 282	Oth	er fee (spec	ity)	-		
	*Re	duced by B	asic Filin	g Fee P	aid SUBTOTAL (3) (\$) 0	
**or number previously paid, if greater, For Reissues, see above	o number previously pard, it greater, For Reissues, see above					

SUBMITTED BY Complete (if applicable) Registration No. Name (Print/Type) Peter C. Knops (Attorney/Agent) 37,659 Telephone (816) 460-5826 Signature September 12, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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CERTIFICATI Applicant(s): S	Matter No.			
rippirouni(s).	406293			
Serial No. Filing Date Examiner				Group Art Unit
Not Yet Assigned		Herewith	Not Yet Assigned	Not Yet Assigned
		NICATIONS SYSTEMS EN USERS	AND METHODS FOR EXCH	IANGING MESSAGES

I hereby certify that this Utility Patent Application Transmittal (1 page); Fee Transmittal For FY 2003 (1 page in duplicate); Specification including 42 claims and abstract (26 pages); Drawings (12 pages); UNSIGNED Declaration For Utility Or Design Patent Application (3 pages); authorization to charge \$657.00 for the filing fee; authorization to charge additional fees that may be required, or credit any overpayment, to Deposit Account No. 12-0600; and return post card are being mailed in an envelope addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 12th day of September, 2003.

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Wendy Precht Name of Depositor